

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/835,876

04/16/2001

Mark Vange

CIRC020

CONFIRMATION NO. 5569

FORMALITIES LETTER

OC000000006168170*

Stuart T. Langley, Esq. Hogan & Hartson, LLP **Suite 1500** 1200 17th Street Denver, CO 80202

Date Mailed: 06/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/16/2001 SDIRETA1 00000011 09835876

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

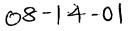
- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$518.
 - \$198 for 11 total claims over 20.
 - \$320 for 4 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1358.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





Attorney/Docket No. CIRC020 Client/Matter No. 80142.2010 Express Mail No. EL841973657US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark Vange, et al.

Serial No. 09/835876

Filed: April 16, 2001

For: SYSTEM AND METHOD FOR IMPLEMENTING APPLICATION FUNCTIONALITY WITHIN A NETWORK INFRASTRUCTURE

Group Art Unit: 2122

Examiner:

RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX MISSING PARTS Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, mailed June 11, 2001, submitted herewith is a signed Declaration for Patent Application; Assignment Recordation Cover Sheet with Executed Assignment; a check in the amount of \$1,398 to cover the surcharge for a large entity, the basic filing fee and the Assignment Recordation fee. Also enclosed is a copy of the PTO Notice form. Any fee deficiency associated with this communication may be charged to Deposit Account No. 50-1123.

Please forward the Assignment to the Recording Branch for recording.

Dated: August 13, 2001

Stuart T. Langley

Registration No. 33,940

HOGAN & HARTSON LLP

One Tabor Center

1200 17th Street, Suite 1500

Denver, Colorado 80202

(720) 406-5335 Tel

(303) 899-7333 Fax

for FY 2001

COTAL AMOUNT OF PAYMENT (\$)

(\$) 1,398.00

Complet if Known				
Application Number	09/835876			
Filing Date	4/16/01			
First Named Inventor	Mark Vange			
Examiner Name				
Group / Art Unit	2122			
Attorney Docket No.	CIRC020			

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)				
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES				
Deposit	50-1123	Large Entity	Small Entity			
Account	50-1123	Fee (\$)	Fee (\$)	Fee Description	Fee Paid	
Number		130	65	Surcharge - late filing fee or oath	130	
Deposit	Hogan & Hartson L.L.P.	50	25	Surcharge - late provisional filing		
Account Name		130	130	or cover sheet Non-English specification		
	Charge Any Additional Fee Required Under 37	2,520	2,520	For filing a request for ex parte reexamination		
CFR § § 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27		920*	920*	Requesting publication of SIR pri	or to	
2. Payment Enclosed:		1,840*	1,840*	Requesting publication of SIR aft	er	
2. <u>CJ</u>	Check Money Other	110	55	Examiner action Extension for reply within first mo	nth	
	Order	390	195	Extension for reply within second	····	
FEE CALCULATION				month		
	FILING FEE	890	445	Extension for reply within third m	onth	
Large Entity Fee (\$)	Small Fee Description Entity Fee Faid (\$)	1,390	695	Extension for reply within fourth month		
710	355 Utility Filing Fee 710	1,890	945	Extension for reply within fifth mo	nth	
320	160 Design filing fee	310	155	Notice of Appeal		
490	245 Plant filing fee	310	155	Filing a brief in support of an app	eal	
710	355 Reissue filing fee	270	135	Request for oral hearing		
150	75 Provisional filing fee	1,510	1,510	Petition to institute a public use proceeding		
		110	55	Petition to revive – unavoidable		
SUBTOTAL (1) (\$)710		1,240	620	Petition to revive – unintentional		
2. EXTRA CLAIM FEES		1,240	620	Utility issue fee (or reissue)		
	Fee from Fee Paid Extra Claims below	440	220	Design issue fee		
Total Claims	31 -20**= 11 X 18 = 198	600	300	Plant issue fee		
Independent Claims	7 -3**= 4 X 80 = 320	130 50	130 50	Petitions to the Commissioner Processing fee under 37 CFR 1.	7(0)	
Multiple Depo	endent = 0 eviously paid, if greater; For Reissues, see below	180	180	Submission of Information Disclo		
Large Entity	Small Entity	40	40	Stmt Recording each patent assignme per property (times number of	nt 40	
Fee Fee Code (\$)	Fee Fee Fee Description Code (\$)	710	355	properties) Filing a submission after final		
103 18	203 9 Claims in excess of 20			rejection (37 CFR § 1.129(a))		
102 80	202 40 Independent claims in excessof 3	710	355	For each additional invention to be examined (37 CFR §1.129(b))	е	
104 270	204 135 Multiple dependent claim, if not paid	710 900	355 900	Request for Continued Examinat Request for expedited examination		
109 80 209 40 **Reissue independent claims over original patent		a design application Other fee (specify)				
110 18 210 9 **Reissue claims in excess of 20 and over original patent						
SUBTOTAL (2) (\$)518 *Reduced by Basic Fling Fee Paid SUBTOTAL				3) (\$)170		
SUBMITTED BY Complete (if applicable)						
Name (Print/Type) Stuart T. Langley Registration No. (Attorney/Agent) 33,940 Telephone (720) 406-5335						
Signature Date 813/01						